



WREGIS Project - Change Control

Change Request Form

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| Project Issue Request ID: 203 | Requested by: John Pappas – PG&E | Type of Change: Certificate creation | Date Requested: April 2011 Priority: High |
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Title: Certificate creation after temporary suspension for not updating annual generator renewal.

Description:

In the event a generating unit is temporarily suspended as a result of a failure to update its annual generator renewal data, the generating unit becomes ineligible to create certificates during the certificate creation period during which the unit was suspended. Even after the generating unit rectifies the situation and WREGIS lifts the suspension, the generating unit is unable to create certificates retroactively for the suspension period. Certificates may be created for periods before and after the suspension, but not for the actual period of suspension. Currently, Certificate creation prevented by such suspension is permanent. This request proposes to change the WREGIS functionality to allow the creation of Certificates for generation reported during the suspended period, after the suspension has been lifted and the generating unit has been re-approved by the WREGIS Administrator.

NYSE Blue Response:

NYSE Blue will update system logic such that once the account holder has met the registration requirements to have WREGIS staff reactivate the affected generator, all affected vintages where a generator was in suspended status will have the ability to create certificates again. The system will automatically update the affected vintages once WREGIS staff manually re-activated the generator. NYSE Blue will also update the account holder event log to track these instances.

Reason for Change:

The current functionality does not allow the creation of Certificates for the certificate creation period during which the unit was suspended, after the generating unit is approved again in WREGIS, resulting in permanent loss of certificates. As the failure to update the renewal involves a procedural deadline, not a substantive failure to meet eligibility requirements, this results in an excessive penalty to the buyer for a potentially inadvertent omission, often an omission on the part of the generating unit and not under the control of the buyer. The proposed modification would allow the generating unit the opportunity to rectify the situation, where warranted.

Consequences of not Implementing Change:

Permanent loss of potential certificates for certain periods following reinstatement of generating unit after temporary suspension.



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Deliverables: NYSE Blue will update system logic so that vintages where a generator was in suspended status will have the ability to create certificates again once re-activated.

Estimate for Scheduled Release: To be determined.

Cost Impact: Per contract, will be implemented on a Time & Material basis, at \$175/hour.

Coding/Bug Fix/Unit Test: 9 hours, 1 Resource

System Test, Regression Test and UAT: 3 hours, 1 Resources

Total Cost Estimate: 12 hour, \$2,100.00

Risk & Issues Involved:

- None to our knowledge

External Impacts:

- None

Attachments/References:

None

Approvals

NYSE Blue: Katherine Graham

Date: 06/09/2011

CEC:

Date:

Notes: